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August 5, 2004

*from* **PATRICIA L. PRIOR**Direct: 937-449-6449 / Fax: 937-223-0724 / [patricia.prior@dinslaw.com](mailto:patricia.prior@dinslaw.com)

**To:** Examiner S.F. Hon  
**Firm:** COMMISSIONER FOR PATENTS  
**Fax Number:** 703/872-9306  
**Client Number:** VIT 0012 PA/40926.13  
**Pages:** 13  
(including cover)  
**Comments:**

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Applicant : Burrows et al.  
Serial No. : 09/966,163  
Filed : September 28, 2001  
Title : METHOD FOR EDGE SEALING BARRIER FILMS  
Art Unit : 1772  
Examiner : S.F. Hon

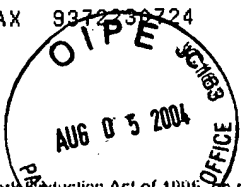
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# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 770.00)

## Complete if Known

Application Number 09/986,163  
 Filing Date September 28, 2001  
 First Named Inventor Paul Burrows  
 Examiner Name S.F. Hon  
 Art Unit 1772  
 Attorney Docket No. VIT0012PA/40926.13

## METHOD OF PAYMENT (check all that apply)

☐ Check ☒ Credit card ☐ Money Order ☐ Other ☐ None
☐ Deposit Account:
 Deposit  
 Account  
 Number  
 Depositor  
 Account  
 Name

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments☐ Charge any additional fee(s) or any underpayment of fee(s)☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

| Large Entity<br>Fee Code (\$) | Small Entity<br>Fee Code (\$) | Fee Description        | Fee Paid |
|-------------------------------|-------------------------------|------------------------|----------|
| 1001 770                      | 2001 385                      | Utility filing fee     |          |
| 1002 340                      | 2002 170                      | Design filing fee      |          |
| 1003 530                      | 2003 265                      | Plant filing fee       |          |
| 1004 770                      | 2004 385                      | Reissue filing fee     |          |
| 1005 160                      | 2005 80                       | Provisional filing fee |          |

SUBTOTAL (1) (\$ -0-

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims  - 20\*\* =  X  =   
 Independent Claims  - 3\*\* =  X  =   
 Multiple Dependent  =

| Large Entity<br>Fee Code (\$) | Small Entity<br>Fee Code (\$) | Fee Description  | Fee Paid |
|-------------------------------|-------------------------------|--|----------|
| 1202 18                       | 2202 9                        | Claims in excess of 20                                     |          |
| 1201 66                       | 2201 43                       | Independent claims in excess of 3                          |          |
| 1203 250                      | 2203 145                      | Multiple dependent claim, if not paid                      |          |
| 1204 66                       | 2204 43                       | ** Reissue independent claims over original patent         |          |
| 1205 18                       | 2205 9                        | ** Reissue claims in excess of 20 and over original patent |          |

SUBTOTAL (2) (\$ -0-

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

| Large Entity<br>Fee Code (\$) | Small Entity<br>Fee Code (\$) | Fee Description  | Fee Paid |
|-------------------------------|-------------------------------|--|----------|
| 1051 130                      | 2051 65                       | Surcharge - late filing fee or oath  |          |
| 1052 50                       | 2052 25                       | Surcharge - late provisional filing fee or cover sheet                     |          |
| 1053 130                      | 2053 130                      | Non-English specification  |          |
| 1812 2,520                    | 2012 2,520                    | For filing a request for ex parte reexamination                            |          |
| 1804 920*                     | 2004 920*                     | Requesting publication of SIR prior to Examiner action                     |          |
| 1805 1,840*                   | 2005 1,840*                   | Requesting publication of SIR after Examiner action                        |          |
| 1251 110                      | 2251 55                       | Extension for reply within first month                                     |          |
| 1252 420                      | 2252 210                      | Extension for reply within second month                                    |          |
| 1253 950                      | 2253 475                      | Extension for reply within third month                                     |          |
| 1254 1,480                    | 2254 740                      | Extension for reply within fourth month                                    |          |
| 1255 2,010                    | 2255 1,005                    | Extension for reply within fifth month                                     |          |
| 1401 330                      | 2401 165                      | Notice of Appeal   |          |
| 1402 330                      | 2402 165                      | Filing a brief in support of an appeal                                     |          |
| 1403 290                      | 2403 145                      | Request for oral hearing   |          |
| 1451 1,510                    | 2451 1,510                    | Petition to institute a public use proceeding                              |          |
| 1452 110                      | 2452 55                       | Petition to revive - unavoidable   |          |
| 1453 1,330                    | 2453 665                      | Petition to revive - unintentional   |          |
| 1501 1,330                    | 2501 665                      | Utility issue fee (or reissue)   |          |
| 1502 400                      | 2502 240                      | Design issue fee   |          |
| 1503 640                      | 2503 320                      | Plant issue fee  |          |
| 1460 130                      | 2460 130                      | Petitions to the Commissioner  |          |
| 1807 50                       | 2807 50                       | Processing fee under 37 CFR 1.17(q)  |          |
| 1806 100                      | 2806 100                      | Submission of Information Disclosure Stmt                                  |          |
| 8021 40                       | 2821 40                       | Recording each patent assignment per property (times number of properties) |          |
| 1809 770                      | 2809 385                      | Filing a submission after final rejection (37 CFR 1.129(a))                |          |
| 1810 770                      | 2810 385                      | For each additional invention to be examined (37 CFR 1.129(b))             |          |
| 1801 770                      | 2801 385                      | Request for Continued Examination (RCE)                                    | 770      |
| 1802 900                      | 2802 900                      | Request for expedited examination of a design application                  |          |

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 770.00

## SUBMITTED BY

Name (Print type) Patricia L. Prior Registration No. 33,758 Telephone (937) 449-6400  
 Signature [Signature] Date 08/05/2004

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/30 (08-03)

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|  |                               |                      |
|--|-------------------------------|----------------------|
| <b>Request<br/>For<br/>Continued Examination (RCE)<br/>Transmittal</b><br><br>Address to:<br>Mail Stop RCE<br>Commissioner for Patents<br>P.O. Box 1450<br>Alexandria, VA 22313-1450 | <b>Application Number</b>     | 09/966,163           |
|  | <b>Filing Date</b>            | September 28, 2001   |
|  | <b>First Named Inventor</b>   | Paul Burrows         |
|  | <b>Art Unit</b>               | 1772                 |
|  | <b>Examiner Name</b>          | S. F. Hon            |
|  | <b>Attorney Docket Number</b> | VIT 0012 PA/40926.13 |

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

1. **Submission required under 37 CFR 1.114** Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).

a. ☒ Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.

- i. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_  
ii. ☐ Other \_\_\_\_\_

b. ☒ Enclosed

i. ☒ Amendment/Reply

iii. ☐ Information Disclosure Statement (IDS)

ii. ☐ Affidavit(s)/ Declaration(s)

iv. ☐ Other \_\_\_\_\_

2. **Miscellaneous**

- a. ☐ Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)  
b. ☐ Other \_\_\_\_\_

3. **Fees**

The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.

The Director is hereby authorized to charge the following fees, or credit any overpayments, to

- a. ☐ Deposit Account No. \_\_\_\_\_  
i. ☐ RCE fee required under 37 CFR 1.17(e)  
ii. ☐ Extension of time fee (37 CFR 1.136 and 1.17)  
iii. ☐ Other \_\_\_\_\_  
b. ☐ Check in the amount of \$ \_\_\_\_\_ enclosed  
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**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED**

|                   |                          |                                   |                |
|-------------------|--------------------------|-----------------------------------|----------------|
| Name (Print/Type) | Patricia L. Prior        | Registration No. (Attorney/Agent) | 33,758         |
| Signature         | <i>Patricia L. Prior</i> | Date                              | August 5, 2004 |

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|                   |                          |
|-------------------|--------------------------|
| Name (Print/Type) | Patricia L. Prior        |
| Signature         | <i>Patricia L. Prior</i> |
| Date              | August 5, 2004           |

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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AUG 05 2004

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of

Applicant : Burrows et al.  
Serial No. : 09/966,163  
Filed : September 28, 2001  
Title : METHOD FOR EDGE SEALING BARRIER FILMS  
Docket : VIT 0012 PA/40926.13  
Art Unit : 1772  
Examiner : S.F. Hon

**OFFICIAL**

Commissioner for Patents  
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Alexandria, VA 22313-1450

## CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this correspondence is being  
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Office ((703) 872-9306) on August 5, 2004.

*Patricia L. Prior*  
Attorney - Patricia L. Prior Reg. No. 33,758

Sir:

AMENDMENT

This amendment is filed with a Request for Continuing Examination in response to the final Office Action, mailed March 16, 2004. An Amendment After Final Rejection was timely filed on June 10, 2004, and a Notice of Appeal was timely filed on June 14, 2004. Reexamination and reconsideration are respectfully requested in light of the amendment and remarks below.

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